

Ref: ML/SE/2019-20/70

October 15, 2019

To,  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001  
Scrip Code: 526235

To,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051.  
Scrip Code: MERCATOR

Sub: Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the half year ended on September 30, 2019

Dear Sir/Madam,

We enclose herewith Certificate under Regulation 40, sub-regulation (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, in prescribed format for the half year ended September 30, 2019, received from M/s Makarand M. Joshi & Co., Company Secretaries.

Please acknowledge the receipt of the same.

Thanking You,

Yours faithfully,

For Mercator Limited



Rajendra Kothari  
CFO & Compliance Officer



Encl.: as above

# MAKARAND M. JOSHI & CO.

## Company Secretaries

Ecstasy, 803/804, 9th Floor, City of Joy, J.S.D Road, Mulund (West), Mumbai- 400080, (T) 022-21678100

### CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

#### FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019

We have examined Memorandum of Transfers, Registers, files and other documents relating to M/s. Mercator Limited ("the Company") maintained by M/s Link Intime India Private Limited, Registrars and Transfer Agents ("RTA") of the Company pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September 2019 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 and based on the information provided by the Company and RTA, we hereby certify for the half year ended on 30<sup>th</sup> September 2019.

- A. Share certificate in respect of One Valid transfer have not been issued within stipulated time limit specified under SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015.
- B. No request of sub-division, consolidation, exchange or endorsement of calls/allotment monies, deletion of name, transmission and transposition of securities except 2 requests for renewal of Certificates received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September 2019.

Place: Mumbai  
Date: 15/10/2019

For Makarand M. Joshi & Co.  
Practicing Company Secretaries

  
Kumudini Bhalerao  
Partner

C P No: 6690

UDIN: P006667A000082468

